



A new strategy in enantioselective intramolecular hetero Diels–Alder reaction: catalytic double asymmetric induction during the tandem transesterification–intramolecular hetero Diels–Alder reaction of methyl (*E*)-4-methoxy-2-oxo-3-butenate with *rac*-6-methyl-5-hepten-2-ol

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Abstract—An efficient catalytic double asymmetric induction during the tandem transesterification–intramolecular hetero Diels–Alder reaction has been developed. The enantioselective tandem reaction of methyl (*E*)-4-methoxy-2-oxo-3-butenate with *rac*-6-methyl-5-hepten-2-ol has been achieved to provide methyl (2*R*,4*aS*,8*aR*)-3,4,4*a*,8*a*-tetrahydro-2,5,5-trimethyl-2*H*,5*H*-pyrano[4,3-*b*]-pyran-7-carboxylate in good yield with effective kinetic resolution (up to 95% selectivity), high diastereoselectivity (up to 92% de), and high enantioselectivity (up to 97% ee) in the presence of (*S,S*)-*tert*-Bu-bis(oxazoline)-Cu(SbF₆)₂ and 5 Å molecular sieves. © 2003 Elsevier Science Ltd. All rights reserved.

Impressive progress has recently been made in catalytic asymmetric reactions which attain the synthesis of various optically active compounds with high optical purity. The development of a new type of catalytic asymmetric induction is a challenging target in organic synthesis.¹ However, the development of a catalytic asymmetric induction of intramolecular hetero Diels–Alder reaction with α,β -unsaturated carbonyl compounds as hetero 1,3-dienes, which is a convenient method for the construction of optically active polyheterocyclic skeleton, still remains as a relatively unexplored field.² On the other hand, the tandem reaction is a most powerful methodology in organic synthesis to form several bonds in one sequence without isolating the intermediates, changing the reaction conditions, or adding reagents. Therefore, the development of a variety of tandem reactions has been undertaken.³

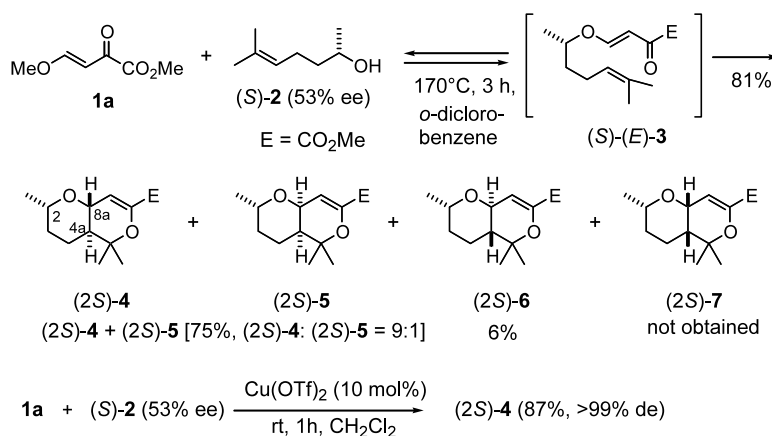
Recently, we described a new type of catalytic single asymmetric induction of tandem transesterification–

intramolecular hetero Diels–Alder reaction of methyl (*E*)-4-methoxy-2-oxo-3-butenate (**1a**) with *prim*- and *tert*- δ,ϵ -unsaturated alcohols leading to hydropyrans with absolute stereoselectivity and excellent enantioselectivity (up to 98% ee) by using (*S,S*)-*tert*-Bu-bis(oxazoline)-Cu(SbF₆)₂ **A** as catalyst in the presence of 5 Å molecular sieves (MS).^{4,5}

As part of our efforts to expand the scope of asymmetric induction of this tandem reaction, we report here the preliminary studies on double asymmetric induction⁶ of enone **1** with *racemic* *sec*- δ,ϵ -unsaturated alcohol *rac*-**2** in the presence of chiral Lewis acid catalyst **A** and 5 Å MS. In the first step, the tandem reaction of enone **1a** with the (*S*)-enriched enantiomer of 6-methyl-5-hepten-2-ol (*S*)-**2** (53% ee), which was prepared by catalytic asymmetric reduction of 6-methyl-5-hepten-2-one according to the procedure of Itoh,⁷ was allowed to react under thermal and a Lewis acid catalyst conditions to confirm the absolute configuration of cycloadducts (Scheme 1). The reaction of enone **1a** (1.5 equiv.) with alcohol (*S*)-**2** was performed in *o*-dichlorobenzene for 3 h at 170°C, then carefully subjected to silica gel column chromatography. Theoretically, four diastereomeric cycloadducts (2*S*)-**4**–**7** can be formed by this reaction. However, three

Keywords: double asymmetric induction; intramolecular hetero Diels–Alder reaction; chiral Lewis acid; enantioselective reactions; tandem reaction; 1-oxa-1,3-butadiene; transesterification; molecular sieves.

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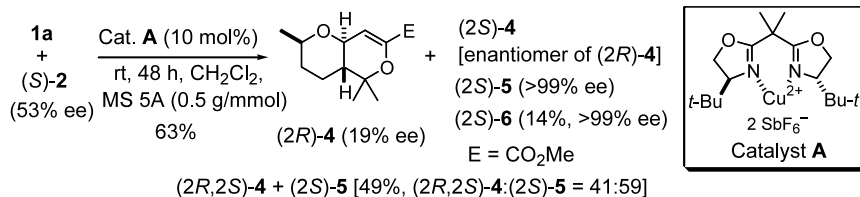
Scheme 1.

diastereomeric cycloadducts were obtained as a mixture of **(2S)-4** (4a,8a-*trans*) and **(2S)-5** (4a,8a-*cis*) [(**2S**)-**4**:(**2S**)-**5**=90:10] in 75% yield, in addition to **(2S)-6** (4a,8a-*trans*) in 6% yield with retention of enantiomeric excess of alcohol **(S)-2**, respectively. On the other hand, the reaction with $\text{Cu}(\text{OTf})_2$ (10 mol%) for 1 h at room temperature afforded a single diastereomeric cycloadduct **(2S)-4** (>99% de) in 87% yield with retention of enantiomeric excess of alcohol **(S)-2**. The stereochemistry of the above hydropyranopyrans **(2S)-4–6** was determined on the basis of ^1H NMR spectral data. The stereoisomer **(2S)-4** was confirmed to be the 2,8a-*cis*-8a,4a-*trans* structure based on the large vicinal couplings for J_{8a-4a} (10.0 Hz) as well as the notable NOEs between H-2/H-8a. Similar structural analysis by ^1H NMR spectral data was applied to **(2S)-5** (J_{8a-4a} =6.1 Hz and notable NOEs between both H-8a/H-4a and H-8/H-2ax) and **(2S)-6** (J_{8a-4a} =10.1 Hz and notable NOEs between both 2-Me/H-8a and H-2/H-4a). Thus, each stereoisomer **(2S)-5** and **(2S)-6** has been determined to be 2,8a-*trans*-8a,4a-*cis* and 2,8a-*trans*-8a,4a-*trans* structure, respectively.⁸ The absolute configuration of **(2S)-4**, **(2S)-5**, and **(2S)-6** was defined as 2*S*,4*aS*,8*aS*, 2*S*,4*aS*,8*aR*, and 2*S*,4*aR*,8*aR*, respectively, based on the relative stereochemistry mentioned above.

Next, chiral Lewis acid-promoted reaction was investigated to find the matching pair of chirality of alcohol **(S)-2** (53% ee) was carried out in CH_2Cl_2 for 48 h at room temperature with *(S,S)*-*tert*-Bu-bis(oxazoline)- $\text{Cu}(\text{SbF}_6)_2$ **A** (10 mol%) as catalyst in the presence of 5

\AA MS (0.5 g/mmol), a mixture of cycloadducts **(2*R*,2*S*)-4** [(**2*R***)-**4** (19% ee)] and **(2*S*)-5** (>99% ee) [(**2*R*,2*S*)-**4**:(**2*S*)-5**=41:59] was isolated in 49% yield; in addition, **(2*S*)-6** (>99% ee) was obtained in 14% yield. This result shows that the reaction with the matching pair of alcohol **(*R*)-2** gives only one cycloadduct **(2*R*)-4** and the mismatching pair of alcohol **(*S*)-2** gives three diastereomeric cycloadducts **(2*S*)-4–6**.**

Thus, we undertook investigation of the double asymmetric induction of a tandem reaction of **1a** with *rac*-**2** in CH_2Cl_2 in the presence of catalyst **A** (10 mol%) and 5 \AA MS. The results are summarized in Table 1. First, the reaction of enone **1a** (1.5 equiv.) with *rac*-**2** was allowed to react at -40°C to evaluate the degree of kinetic resolution of transesterified enone (*R,S*)-**3** in the step of cyclization (entries 1–3). After 24 h, two diastereomers **(2*R*,2*S*)-4** and **(2*S*)-5** [(**2*R*,2*S*)-**4**:(**2*S*)-5**=83:17, (**2*R*)-4** (94% ee)] as a mixture and another diastereomer **(2*S*)-6** were obtained in 50% and 7% yields, respectively. In addition, unreacted transesterified enone (*S*)-**3** (27%, 96% ee) was also obtained as the only *E*-isomer (entry 1). It took 60 h at -40°C to complete the cyclization of mismatching enone (*S*)-(*E*)-**3** affording the corresponding cycloadducts (entries 2 and 3). The absolute configuration and enantiomeric excess of unreacted enone **3** were determined as (*S*)-(*E*)-**3** after conversion to single diastereomeric cycloadduct **(2*R*,2*S*)-4** with $\text{Cu}(\text{OTf})_2$ (10 mol%) as mentioned above. The results indicate that the cyclization of the matching pair (*R*)-(*E*)-**3** is faster than that of the mismatching pair (*S*)-(*E*)-**3** due to the discrimination effect of chiral catalyst **A**.**



Scheme 2.

Table 1. Chiral Lewis acid-catalyzed double asymmetric induction during the tandem transesterification–intramolecular hetero Diels–Alder reaction of **1** with *rac*-**2**^a

Entry	Enone 1a, b	<i>rac</i> - 2 (equiv.)	Temp. (°C)	Time (h)	Yields of cycloadducts (%) ^{b,c}		Ratio of <i>2R</i> - 4 / <i>2S</i> - 4-6	% ee of ^c (<i>2R</i>)- 4
					(<i>2R,2S</i>)- 4 + (<i>2S</i>)- 5	(<i>2S</i>)- 6		
1 ^{d,e}	1a	1	−40	24	50 (83:17)	< 7	71/29	94
2 ^{d,e}	1a	1	−40	48	58 (70:30)	8	55/45	78
3 ^d	1a	1	−40	60	67 (59:41)	9	45/55	72
4 ^d	1b	1	−20	6	79 (61:39)	7	50/50	78
5	1a	2	rt	0.5	61 (70:30)	10	54/46	80
6	1a	2	0	2	65 (85:15)	4	76/24	90
7	1a	2	−20	14	63 (90:10)	3	86/14	96
8	1a	2	−40	36	73 (94: 6)	< 2	90/10	96
9	1a	2	−60	96	66 (96: 4)	0	95/5	97
10	1a	2	−40	24	80 (96: 4)	< 1	93/7	97
11	1a	2	−40	48	68 (93: 7)	< 3	85/15	93

^a All reactions were performed with 10 mol% of catalyst **A** [(*S,S*)-*t*-Bu-Box-Cu(SbF₆)₂] in CH₂Cl₂ in the presence of 5 Å MS. The only one cycloadduct (*2R*)-**4** and three diastereomeric cycloadducts (*2S*)-**4-6** were obtained by the reaction with (*R*)-**2** and (*S*)-**2**, respectively.

^b Isolated yields as a mixture of diastereomeric cycloadducts (*2R,2S*)-**4** and (*2S*)-**5**. The ratio in parentheses was determined by ¹H NMR analysis. Cycloadduct (*2S*)-**6** was isolated as a single diastereomer.

^c The enantiomeric excesses of (*2R*)-**4** (72–97% ee), (*2S*)-**5** (>99% ee), and (*2S*)-**6** (>99% ee) were determined by chiral HPLC (DAICEL CHIRALCEL OD-H) analysis.

^d 1.5 equiv. of enone **1** was used.

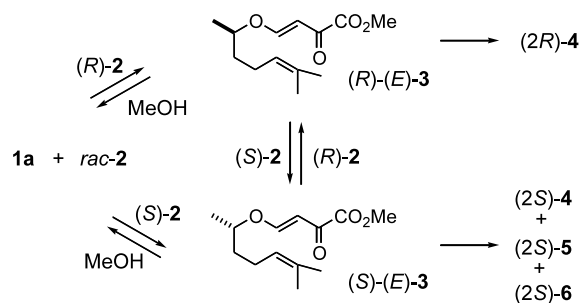
^e Enone (*S*)-(*E*)-**3** was obtained in 27% (96% ee) and 17% (96% ee) yields, respectively.

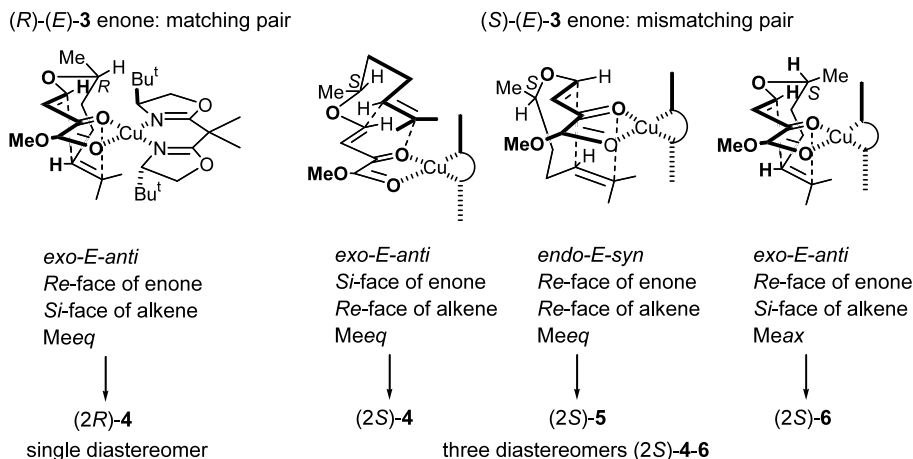
The effective double asymmetric induction during the tandem reaction could be expected by the formation of a sufficient amount of the matching enone (*R*)-(*E*)-**3** under the rapid reversible transesterification of both enone **1a** and *rac*-(*E*)-**3** with an excess of *rac*-**2**. The existence of direct transesterification of enone *rac*-(*E*)-**3** and *rac*-**2** in the reaction pathway was proved by the following model reaction with *sec*-alkoxy-substituted methyl (*E*)-4-(2-pentyloxy)-2-oxo-3-butenate (**1b**), which was prepared as the only *E*-isomer by thermal transesterification of **1a** with 2-pentanol in 75%. Chiral Lewis acid-catalyzed tandem reaction of enone **1b** with *rac*-**2** proceeded smoothly within 6 h at −20°C to provide the corresponding cycloadducts [(*2R,2S*)-**4** + (*2S*)-**5** (79%, 61:39), (*2R*)-**4** (78% ee) and (*2S*)-**6** (7%)] in combined yield of 86% (entry 4).

Therefore, the tandem reaction of enone **1a** with 2 equiv. of alcohol *rac*-**2** was carried out until the completion of reaction in the range of room temperature to −40°C under the same catalytic system (entries 5–8). The only one cycloadduct (*2R*)-**4** arising from (*R*)-**2** and three diastereomeric cycloadducts (*2S*)-**4-6** arising from (*S*)-**2** were obtained in good combined yields (66–75%). At −60°C, the formation of cycloadduct (*2S*)-**6** was not observed (entry 9). The results indicate that the kinetic resolution of enone (*R,S*)-(*E*)-**3** [(*2R*)-**4**/(*2S*)-**4-6**] and the enantioselectivity of cycloadduct (*2R*)-**4** are effectively improved by lowering the reaction

temperature as follows: 54/46 and 80% ee (room temperature), 76/24 and 90% ee (0°C), 84/16 and 96% ee (−20°C), 90/10 and 96% ee (−40°C), 95/5 and 97% ee (−60°C) (entries 5–9). The reaction of **1a** with 3 equiv. of *rac*-**2** at −40°C gave a slightly better result than with 2 equiv. of *rac*-**2** [81% combined yields, (*2R*)-**4**/(*2S*)-**4-6** = 93/7, (*2R*)-**4** (97% ee)] (entry 10 versus entry 8). The reaction of enone **1b** with *rac*-**2** (2 equiv.) also proceeded at −40°C to afford the corresponding cycloadducts in combined yield of 71% with reasonable kinetic resolution [(*2R*)-**4**/(*2S*)-**4-6** = 85/15] and high enantioselectivity of (*2R*)-**4** (93% ee) (entry 11).

The proposed pathway of the tandem reaction of enone **1a** with *rac*-**2** is shown in Scheme 3. Furthermore, the possible transition structures (TS-A-D) with conforma-

**Scheme 3.**



Scheme 4.

tion of a chairlike arranged tether in cyclization of (*R,S*)-(*E*)-**3** leading to the corresponding diastereomeric cycloadducts (2*R*)-**4** and (2*S*)-**4–6** are described on the basis of a square-planar intermediate by coordination of the vicinal carbonyl functionalities of (*R,S*)-(*E*)-**3** with the copper(II) center in bidentate fashion (Scheme 4).

In summary, we have successfully achieved an effective double asymmetric induction during the tandem transesterification–intramolecular hetero Diels–Alder reaction of enone **1a** with excess of *rac*-**2** using (*S,S*)-*t*-Bu-bis(oxazoline)-Cu(SbF₆)₂ **A** as a catalyst in the presence of 5 Å MS. To the best of our knowledge, this is a new methodology for the catalytic asymmetric intramolecular hetero Diels–Alder reaction. Studies on additional application of this type of asymmetric induction are in progress.

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8. ¹H NMR (600 MHz) spectral data for (2*S*)-**4–6** are as follows: (2*S*)-**4** (CDCl₃) δ = 1.15 (3H, s, one of 5-Me), 1.23 (3H, d, *J* = 6.2 Hz, 2-Me), 1.27 (1H, dq, *J*_{4ax–3eq} = 3.7 and *J*_{4ax–3ax} = *J*_{4ax–4a} = *J*_{gem} = 12.3 Hz, H-4ax), 1.33–1.43 (1H, m, H-3ax), 1.40 (3H, s, the other of 5-Me), 1.49 (1H, ddd, *J*_{4a–4eq} = 3.2, *J*_{4a–8a} = 10.1, and *J*_{4a–4ax} = 12.3 Hz, H-4a), 1.76 (1H, dddd, *J*_{3eq–2} = 2.2, *J*_{3eq–4eq} = 3.2, *J*_{3eq–4ax} = 3.7 and *J*_{gem} = 13.0 Hz, H-3eq), 1.83 (1H, dq, *J*_{4eq–3ax} = *J*_{4eq–3eq} = *J*_{4eq–4a} = 3.2, and *J*_{gem} = 12.3 Hz, H-4eq), 3.59 (1H, ddq, *J*_{2–3eq} = 2.2, *J*_{2–2-Me} = 6.2, and *J*_{2–3ax} = 12.4 Hz, H-2), 3.77 (3H, s, 7-CO₂Me), 3.82 (1H, dd, *J*_{8a–4a} = 10.1 and *J*_{8a–8} = 1.8 Hz, H-8a), 6.00 (1H, d, *J*_{8–8a} = 1.7 Hz, H-8); (2*S*)-**5** [as a mixture of (2*S*)-**4**, CDCl₃] δ = 1.15 (3H, d, *J*_{2–Me–2} = 6.2 Hz, 2-Me), 1.18–1.27 (1H, m, H-3ax), 1.24 (3H, s, one of 5-Me), 1.33 (1H, dq, *J*_{4ax–3eq} = 3.9 and *J*_{4ax–4a} = *J*_{4a–3ax} = *J*_{gem} = 13.2 Hz, H-4ax), 1.37 (3H, s, the other of 5-Me), 1.36–1.41 (1H, m, H-3eq), 1.59–1.65 (1H, m, H-4a), 1.76–1.81 (1H, m, H-4eq), 3.55 (1H, ddq, *J*_{2–3eq} = 1.6, *J*_{2–2-Me} = 6.2, and *J*_{2–3ax} = 12.1 Hz, H-2), 3.8 (3H, s, 7-CO₂Me), 4.69 (1H, dd, *J*_{8a–8} = 1.1 and *J*_{8a–4a} = 6.1 Hz, H-8a), 5.98 (1H, t,

$J_{8-8a}=J_{8-4a}=1.1$ Hz, H-8); (2*S*)-**6** (C_6D_6) $\delta=0.91$ (3H, s, one of 5-Me), 0.93 (1H, dq, $J_{4ax-3eq}=3.1$ and $J_{4ax-3ax}=J_{4ax-4a}=J_{gem}=12.6$ Hz, H-4ax), 1.01 (3H, d, $J=6.8$ Hz, 2-Me), 1.05–1.13 (2H, m, one of H-3 and H-4eq), 1.15 (3H, s, the other of 5-Me), 1.43 (1H, ddd, $J_{4a-4eq}=2.9$,

$J_{4a-8a}=10.1$, and $J_{4a-4ax}=12.6$ Hz, H-4a), 1.52–1.60 (1H, m, the other of H-3), 3.33 (3H, s, 7-CO₂Me), 3.98 (1H, dd, $J_{8a-8}=2.0$ and $J_{8a-4a}=10.1$ Hz, H-8a), 4.07 (1H, quintet, $J_{2-2-Me}=J_{2-3eq}=6.7$ Hz, H-2) and 6.30 (1H, d, $J_{8-8a}=2.0$ Hz, H-8).